

City of Chattanooga, TN

DRAFT



Consolidated Annual Performance and Evaluation Report (CAPER)

First Program Year

HUD CPD Consolidated Planning

July 1, 2015 – June 30, 2016

2015-2016

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CR-05 - Goals and Outcomes**Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

The City continues to explore initiatives, partners and processes through which the entitlement funds can be leveraged to effectively and efficiently yield the greatest impact. Such initiatives include the City's PILOT (Payment in Lieu of Taxes) Program and a Land Bank Authority to incentivize partnerships with private developers in producing and preserving affordable housing. These coupled with the use of an "on-going" RFP process for HOME, which consists of taking applications for HOME-assisted projects/programs, several times during the program year instead of once, is resulting in the production/preservation of more units along with ensuring the commitment and expenditure of HOME funds in a timely manner.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Administration and Planning	Admin	CDBG: \$348,550.49 / HOME: \$82,543 / ESG: \$10,361	Other	Other	63867	63867	100.00%	63867	63867	100.00%

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Community Dev Public Facilities & Infrastructure	Non-Housing Community Development	CDBG: \$546,064.71	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	63867	0	0.00%	63867	63867	100.00%
Community Dev Public Facilities & Infrastructure	Non-Housing Community Development	CDBG: \$	Buildings Demolished	Buildings	0	0		0	0	
Increase Affordable Housing Opportunities	Affordable Housing	CDBG: \$5,081.99	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	76		0	421	
Increase Affordable Housing Opportunities	Affordable Housing	CDBG: \$1,897.02	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	10000	124	1.24%	2000	124	6.20%
Increase Affordable Housing Opportunities	Affordable Housing	HOME: \$193,353.60	Rental units constructed	Household Housing Unit	1107	4	0.36%	221	4	1.81%
Increase Affordable Housing Opportunities	Affordable Housing	CDBG: \$42,450	Rental units rehabilitated	Household Housing Unit	100	8	8.00%	20	8	40.00%
Increase Affordable Housing Opportunities	Affordable Housing	HOME: \$464,299.63	Homeowner Housing Added	Household Housing Unit	50	8	16.00%	10	8	80.00%

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Increase Affordable Housing Opportunities	Affordable Housing	CDBG: \$375,998.54	Homeowner Housing Rehabilitated	Household Housing Unit	1305	78	5.98%	261	86	32.95%
Increase Affordable Housing Opportunities	Affordable Housing	HOME: \$34,503.01	Direct Financial Assistance to Homebuyers	Households Assisted	100	4	4.00%	20	4	20.00%
Increase Affordable Housing Opportunities	Affordable Housing	CDBG: \$ / HOME: \$	Housing for Homeless added	Household Housing Unit	90	0	0.00%	18	0	0.00%
Increase Affordable Housing Opportunities	Affordable Housing	CDBG: \$93,724.56	Buildings Demolished	Buildings	75	59	78.67%	20	59	295.00%
Increase Employment Opportunities	Non-Housing Community Development	SECTION 108: \$406,160	Jobs created/retained	Jobs	180	0	0.00%	36	0	0.00%
Increase Employment Opportunities	Non-Housing Community Development	CDBG: \$19,880 / SECTION 108: 406,160	Businesses assisted	Businesses Assisted	45	6	13.33%	9	9	100.00%
Services and Housing for Homeless	Homeless	CDBG: \$42,987.13 / ESG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	23012		0	23012	
Services and Housing for Homeless	Homeless	CDBG: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	1075	0	0.00%	215	182	84.65%

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Services and Housing for Homeless	Homeless	CDBG: \$ / ESG: \$10,000	Homeless Person Overnight Shelter	Persons Assisted	2000	0	0.00%	60280	4619	7.66%
Services and Housing for Homeless	Homeless	CDBG: \$23,159.56 / ESG: \$36,403.85	Homelessness Prevention	Persons Assisted	375	0	0.00%	75	24	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

This is the first year of the Five-Year Consolidated Plan. Expected outcomes in affordable housing were well below planned outcomes, primarily due to anticipated opportunities to partner with the private sector in using Low Income Housing Tax Credits (LIHTC). Developers seeking LIHTC for this area did not score competitively. The City is fine tuning the PILOT Program and other initiatives that will enhance affordable housing development incentives. With these and other incentives, the City expects to achieve or exceed planned outcomes by end of year five.

With the development of four (4) new affordable rental units, eight (8) new homeownership units, and the preservation of 89 units through various rehabilitation programs, the City is well on its way towards fulfilling proposed housing objectives.

In line with the City's continuous efforts to stabilize and preserve neighborhoods, particularly those in low/moderated income census tracts, a total of 101 dilapidated and unsafe structures were demolished. Seventy-seven (77) were demolished using City general funds and 24 using

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CDBG funds.

Rehabilitated Units by Program

Program	Units
ECD Home Repair (World Changers Minor Repair) (CDBG)	34
CCHDO Roofing Program (CDBG)	23
Habitat for Humanity Brushed With Kindness Program (CDBG)	15
CNE Homeowner Rehabilitation Program (CDBG)	2
ECD Rental Unit Renovation Program (CDBG)	6
ECD Affordable Housing Program (HOME)	1
Public Works Sewer Lateral Assessment Program	8

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	12,714	12	0
Black or African American	8,738	55	0
Asian	50	0	0
American Indian or American Native	72	0	0
Native Hawaiian or Other Pacific Islander	41	0	0
Total	21,615	67	0
Hispanic	326	0	0
Not Hispanic	21,289	67	0

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

While the majority of families assisted still remain either White or African American, the City is seeing an increase in other races and ethnic groups, particularly in the Hispanic population. Unfortunately, many remain uncouned due to failure or refusal to self-identify by race.

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Racial Breakdown of Social Services Beneficiaries

Family Promise Centralized Intake

<u>Race</u>	<u>Number Served</u>
White	294
Black/African American	699
Asian	0
American Indian/Alaskan Native	1
Native Hawaiian/Pacific Islander	1
American Indian/Alaskan and White	0
Asian and White	0
Black/African American and White	0
American Indian/Alaskan and Black/African American	0
Hispanic	4
Other Multi Racial	0
Refuse to Answer	10

Homeless Coalition HMIS

<u>Race</u>	<u>Number Served</u>
White	9044
Black/African American	5442
Asian	38
American Indian/Alaskan Native	77
Native Hawaiian/Pacific Islander	56
American Indian/Alaskan and White	0
Asian and White	0
Black/African American and White	0
American Indian/Alaskan and Black/African American	0
Hispanic	503
Other Multi Racial	1207
Refuse to Answer	4114

Homeless Coalition COC

<u>Race</u>	<u>Number Served</u>
White	1014
Black/African American	794
Asian	1
American Indian/Alaskan Native	6
Native Hawaiian/Pacific Islander	2
American Indian/Alaskan and White	0
Asian and White	0
Black/African American and White	0
American Indian/Alaskan and Black/African American	0
Hispanic	40
Other Multi Racial	3
Refuse to Answer	208

CNE Homebuyer Pre-Purchase Counseling and Education

<u>Race</u>	<u>Number Served</u>
White	47
Black/African American	71
Asian	2
American Indian/Alaskan Native	0
Native Hawaiian/Pacific Islander	0
American Indian/Alaskan and White	0
Asian and White	0
Black/African American and White	0
American Indian/Alaskan and Black/African American	0
Hispanic	2
Other Multi Racial	3
Refuse to Answer	0

CR-15 - Resources and Investments 91.520(a)**Identify the resources made available**

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG		7,156,488	1,509,068
HOME		3,301,720	774,699
ESG		603,752	112,948

Table 3 - Resources Made Available**Narrative**

The table above outlines the resources available, along with amounts expended, under the three Entitlement Grants between July 1, 2015 and June 30, 2016. CDBG funds are used to provide assistance to low- and moderate-income citizens residing within the city limits of Chattanooga or infrastructure improvements located within low- and moderate-income census tracts. These are census tracts in which at least 51% of the households are low- and moderate-income. Adjustments were made to amounts showing as expended to correctly reflect expenditures in IDIS.

Due to continuing decreases in federal resources, the City is leveraging the limited resources to focus on housing and housing related services. Including, preservation and production of affordable housing, improving access to housing and improved success in remaining housed. Infrastructure improvements and stabilization efforts are to coincide with housing development.

Per IDIS report PR26 - CDBG Financial Summary Report

- Low/Mod benefit this reporting period - 94.77%
- Public Service Cap - 4%
- Admin & Planning Cap - 19%

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
N/A	N/A	N/A	N/A

Table 4 – Identify the geographic distribution and location of investments**Narrative**

One hundred percent (100%) of funds are used within the city limits of Chattanooga, with the vast majority utilized in LMI Census Tracts.

2010 LMI Census
Tracts for
Hamilton County, TN

Tract	%LMI
4	68.45
8	68.31
11	62.87
12	61.11
13	74.25
14	66.42
16	100
19	85.53
20	85.02
23	68.56
24	78.12
25	80.76
26	75.76
31	60.53
32	61.81
106	53.33
107	54.35
109.02	70.13
114.44	59.59
114.45	51.24
122	86.55
123	66.32
124	68.17

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The City of Chattanooga has always prided itself in its ability to, in many years, leverage federal resources more than 20-to-1. Unfortunately, over the past six years, other federal, state, and local resources for activities eligible for Consolidated Plan funding have been cut severely. Private resources have been similarly limited by reductions in corporate, foundation, and individual giving to nearly all non-profit entities.

However, the City continues to utilize partnerships with for-profit and non-profit partners to maximize the impact of available funds. An example is the rental rehab program in which CDBG funds were leveraged with private developers' funds through the ECD Rental Unit Renovation Program. The City provided \$62,610 in CDBG funds, which was leveraged with \$74,219 in property owner contributions. Other examples include leveraging in HOME funded projects which are typically more than \$2 private dollars for every \$1 HOME dollar. Following information provides details on required match under HOME and ESG.

In addition to leverage and match obtained directly through funded programs, the City allocates City General Funds to agencies that provide services which assist in meeting Consolidated Plan goals. Following is an example of such activities.

Agency Programs Assisted With City General Funds

Agencies Funded with City General Funds for FY 2015-2016

<u>Agency</u>	<u>Purpose</u>	<u>Amount</u>
AIM Center	Public system of care for mentally ill adults	\$60,000.00
Bethlehem Center	V-Team Leadership Curriculum for at risk youth	\$25,000.00
Chattanooga Urban League	Education, Health, Entrepreneurship Programs	\$125,000.00
Chattanooga Neighborhood Enterprise	Create affordable homeownership and rental housing	\$705,000.00
Homeless Coalition	Emergency cold weather shelter	\$50,000.00
Room in the Inn	Provide temporary housing and support to homeless women	\$25,000.00
Children's Advocacy Center	Provide a safe environment for children who are victims of abuse	\$60,000.00
Chambliss Center	Extended child care program for at risk youth	\$350,000.00
Community Foundation	Needs-based renewable scholarships for city students	\$101,300.00
Girl's Inc	After school programs for girls to promote self-esteem and practical skills for life	\$30,000.00
Helen Ross McNabb	Mental health services for the uninsured	\$57,000.00
Hope for the Inner City	Programs for disadvantaged men ages 18-25	\$60,000.00
Joe Johnson Mental Health Center	Community based mental health treatment	\$60,000.00
LaPaz Chattanooga	Programs targeting the Hispanic population	\$50,000.00
Orange Grove Center	Adult comprehensive training center	\$105,188.00
Partnership for FCA	Crisis resource center	\$65,000.00
Signal Centers	Adult services for low income and disabled adults over 60	\$75,000.00
Youth and Family Development	Various social services	\$1,165,000.00
Speech and Hearing Center	Nonprofit speech and audiological services for individuals at or below the poverty line	\$67,700.00

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	3,915,422
2. Match contributed during current Federal fiscal year	260,066
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	4,175,488
4. Match liability for current Federal fiscal year	49,856
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	4,125,632

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
1101 Jarvis Street	08/04/2015	1,465	0	5,000	0	6,129	0	12,594
1212 S Watkins Street	04/04/2016	6,421	0	0	0	0	0	6,421
1801 Carson Avenue	08/04/2015	0	0	0	0	6,146	0	6,146
2025 Sharp Street	03/21/2016	45,000	0	0	0	0	0	45,000
2426 Chamberlain Avenue	03/21/2016	41,500	0	0	0	0	0	41,500
2430 Chamberlain Avenue	03/21/2016	41,500	0	0	0	0	0	41,500
2434 Chamberlain Avenue	03/21/2016	42,500	0	0	0	0	0	42,500
2438 Chamberlain Avenue	03/24/2016	41,500	0	0	0	0	0	41,500
3341 Hughes Avenue	08/04/2015	0	0	0	0	5,911	0	5,911
3351 Hughes Avenue	05/27/2016	0	0	0	0	5,660	0	5,660

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Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
3361 Hughes Avenue	08/04/2015	0	0	0	0	5,660	0	5,660
623 Snow Street	08/04/2015	0	0	0	0	5,674	0	5,674

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	513,996	513,996	0	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	756,263	0	0	0	69,680	686,583
Number	85	0	0	0	6	79
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	756,263	0	756,263			
Number	85	0	85			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	10	0	1	4	0	5
Dollar Amount	286,642	0	50,000	71,002	0	165,640

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	435	0
Number of Non-Homeless households to be provided affordable housing units	2,000	175
Number of Special-Needs households to be provided affordable housing units	27	85
Total	2,462	260

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	205	85
Number of households supported through The Production of New Units	249	12
Number of households supported through Rehab of Existing Units	271	89
Number of households supported through Acquisition of Existing Units	20	15
Total	745	196

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The City fell short on most goals this year primarily due to anticipated partnerships with LIHTC developers not coming to fruition. Developers applying for tax credits for this area did not score high enough to receive rewards. The City had to develop an on-going RFP process to procure other partners to work with. Other small housing projects encountered various unanticipated delays.

Discuss how these outcomes will impact future annual action plans.

The City anticipates being able to achieve or exceed planned goals by year five of the Consolidated Plan. The City is conducting a housing study to develop a comprehensive approach to addressing the City's affordable housing needs through introducing/enhancing incentives for partnerships with private developers to leverage federal resources in producing and preserving affordable housing. These include the City's PILOT Program, partnering with developers in LIHTC projects, amassing critical properties through the Land Bank Authority, utilizing THDA's Blight Elimination Program to clear abandoned properties for re-use.

The City will continue to evaluate its programs and goals to adjust as necessary in future Action Plans which

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may include changes in project funding levels and strategies to achieve the five-year goals.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	33	8
Low-income	71	5
Moderate-income	134	6
Total	238	19

Table 13 – Number of Persons Served

Narrative Information

Accomplishments were modified from default numbers because some were not being picked up in the system for unknown reasons. Numbers in the City's goals include potential homebuyers who are going through Habitat's homebuyer preparedness program and CNE's homebuyer assistance program. Both programs prepare potential homebuyers for homeownership. These additional homebuyers assisted are considered leverage as they were not assisted using HOME or CDBG funds. The numbers for "Persons Served by Income" are based on information reported by the subrecipients through quarterly reports.

Number of Extremely Low Income, Low Income, and Moderate Income Persons Served in Housing

Rental Rehabilitation/Construction

	<u>CDBG</u>	<u>HOME</u>
0-30%	0	6
31-50%	0	0
51-80%	21	2

Homebuyer Construction/Downpayment Assistance

	<u>CDBG</u>	<u>HOME</u>
0-30%	15	2
31-50%	39	5
51-80%	70	4

Homeowner Rehabilitation

	<u>CDBG</u>	<u>HOME</u>
0-30%	18	0
31-50%	32	0
51-80%	43	0

Goals include activities completed or reported in IDIS between July 1, 2015 and June 30, 2016, and additional homebuyers assisted through Habitat and CNE with other funds.

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Number of households supported through rental assistance	
Hamilton County Health Department (ESG)	64
Youth and Family Development (ESG)	8
Number of households supported through the production of new units	
Habitat for Humanity (HOME)	7
CCHDO (HOME)	1
ECD Affordable Housing (HOME)	4
Number of households supported through the rehab of existing units	
ECD World Changers (CDBG)	34
ECD Rental Unit Renovation Program (CDBG)	6
ECD Affordable Housing (HOME)	2
CCHDO Roofing (CDBG)	23
Habitat for Humanity Brushed With Kindness (CDBG)	15
Chattanooga Neighborhood Enterprise (CDBG)	4
Public Works Sewer Lateral Program	8
Number of households supported through the acquisition of existing units	
Chattanooga Neighborhood Enterprise (HOME)	4

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Chattanooga Homeless Coalition is the lead agency for the Continuum of Care (CoC) in the Chattanooga area. The CoC Advisory board oversees all CoC Business, facilitating policy and planning to prevent and end homelessness via engaged members and committees addressing housing, health, coordinated entry, HMIS, rapid re-housing collaboration and employment/income. The CoC will complete its Strategic Action Plan (SAP) and continue the work set forth in its governance charter regarding CoC planning (particularly regarding coordination with ESG) and HMIS policies and procedures.

The City currently has agencies targeting homeless outreach and assessment. Much of the homeless outreach occurs through the Chattanooga Homeless Coalition and through the Continuum of Care.

Chronic homelessness has been reduced by 85% since 2003. In the 2016 Continuum of Care application, 87 persons were identified as chronically homeless. The source for the numbers is the annual Point in Time count. The persons who remain chronically homeless are mostly people with complex issues that cut across several systems of care: health care, mental health care, substance abuse services, and sometimes care for intellectual disabilities. Extensive collaboration among these systems of care is required to meet the needs of many of the persons who are currently chronically homeless.

From January 2015 to January 2016 the number of homeless individuals dropped slightly and the number of chronic homeless individuals dropped 35%.

The Point-in-Time count for the Chattanooga area was held on January 27, 2016. The 2016 count was 624 persons. However, the area has seen a significant reduction in chronic homelessness and decrease in overall homelessness among individuals since 2003.

The City also provides Family Promise of Greater Chattanooga \$25,000 in CDBG funds for a Centralized Intake Case manager and \$ 25,118 in ESG funds for a Housing Stabilization Counselor.

The City of Chattanooga has multiple agencies dedicated to serving the homeless population, through using a coordinated assessment process whenever possible. Chattanooga uses the 'no wrong door' policy, meaning that when a homeless individual goes to any homeless service provider in Chattanooga, they are offered the chance to fill out a VI-SPDAT (Vulnerability Index survey), with the results then being entered into the local HMIS (Homeless Management Information Systems) database.

Addressing the emergency shelter and transitional housing needs of homeless persons

Since 1995, the City was a recipient of Shelter Plus Care funds under the CoC for tenant based rental assistance for dually-diagnosed individuals. The City was not successful in its most recent renewal application for funding. This results in the loss of funding to transition 30+ clients into permanent housing.

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Chattanooga has been evaluating the effectiveness and capacity of current emergency shelter and transitional housing using a team of local homeless service provider staff and administrators. Chattanooga has been also considering ways to use local open program beds as 'bridge housing' for homeless veterans.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The Correctional Release Center (CRC) is contracted by the Tennessee Department of Correction to provide short-term transitional services rooted in evidence-based practices to address the needs of incarcerated women. While at the CRC, women work toward gaining job and life skills that will assist in a productive re-entry into society. Clients designated within the Recovery Track meet in therapy groups less than five hours per week. All clients must follow the policies set forth in program standards, as well as specific residential treatment procedures described below. The agency served 127 residents between July 1, 2015 and June 30, 2016. Of those served 20 were African American, 1 was bi-racial, and 2 were Hispanic.

AIM Center Housing serves individuals in the community that are low-income and have been diagnosed with a mental illness by providing quality, permanent housing that is safe and affordable. In total, they have 77 units. Currently, they have 84 tenants. The demographics of our tenants are as follows: 45 females, 39 males, 47 Caucasians, 32 African Americans, 2 Hispanics and 3 others.

Orange Grove

Orange Grove is the premier agency for caring for special needs residents of Chattanooga and of the region. They provide a wide range of services for their clients including housing, health care, employment/training opportunities, and enrichment opportunities. The agency alone serves thousands throughout eleven counties in their various programs. The organization assisted the following numbers of individuals:

Children's Services (School Aged Program): 5 classrooms serving 45 students (39 from Hamilton County)

Adult Services:

Adult Comprehensive Training: 130 individuals served – development of prevocational and self-help skills.

Industrial Learning Center: 140 individuals served – provides paid work opportunities for individuals who choose a more supportive environment.

Secure Document Destruction: 19 individuals served

Recycling Center: 103 individuals served

Employment Supports: 108 individuals served – partners with local businesses for job-site employment.

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Personal Assistance: 55 individuals served – provides services to those who choose to remain at home with family.

Supported Living: 24 homes serving 50 individuals – supported living program geared toward keeping special needs individuals in their homes for as long as possible.

Residential Habilitation: 24 homes serving 148 individuals – 24-hour residential services, including assistance with personal care, meal preparation, laundry and transportation.

Intermediate Care Facilities: 18 homes serving 80 individuals – 24/7 care, including all therapeutic support.

Health Care Clinic: 90 patients seen each week – full service primary care facility for special needs individuals.

Dental Clinic: 675 individuals served

Various Enrichment Services: 780 individuals served weekly – provide enrichment in art, music and health promotion and recreation

Family Support Program: 328 families served in 11 counties – funded through a grant by the Tennessee Department of Intellectual and Developmental Disabilities, the program serves children and adults with severe disabilities by providing funding for services and support that are not otherwise covered by other resources.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City of Chattanooga has been working under two initiatives for approximately 18 months to end Veteran homelessness. So far, 203 veterans and their families have found permanent housing in Chattanooga and surrounding areas. The length of homelessness for veterans in Chattanooga has been reduced by half, and is currently around 50 days, with a goal of reaching 30 days or less for a veteran to experience homelessness.

Actions taken to address the needs of public housing

Currently unit occupancy lists indicate that 95% of the HCVP units and 94% of the LIPH units are occupied by residents with an income level below 50% of the AMI and with the majority of these in the extremely low category. The CHA's Housing Choice Voucher Program currently has a waiting list of approximately 500 applicants.

CHA began participating in the Small Area Fair Market Rent Demonstration Program 10/1/2012, which yielded an increase in the number of landlords offering property on our program. CHA now administers 75 vouchers for veterans under the VASH program.

CHA has shifted the focus of its renovation/modernization efforts to bare minimum preservation of housing. On the operational side, CHA is focused on minimizing vacancy, as well as putting an increased focus on preventative maintenance. The CHA plans to identify additional development opportunities in the City and use all available funding mechanisms for acquisition.

CHA is putting more focus on quality problems identified under the UPCS and moving toward a greater ability to promptly address resident behaviors that are creating health and safety problems.

The CHA is creating 136 public housing units at Dogwood Manor using Replacement Housing Factor Funds, releasing 116 vouchers from the Project-Based Program at Dogwood, and creating additional subsidized housing opportunities. The CHA is also beginning a major renovation project Boynton Terrace.

College Hill Courts and East Lake Courts are the two oldest public housing developments in the CHA's portfolio. In 2016, the CHA will continue to work with the City of Chattanooga and other partners to develop a plan to address College Hill Courts and East Lake Courts.

The CHA currently has 12 project based vouchers; the decrease is directly related to changing over Dogwood Manor to LIPH from PBV. There is currently one award in process for 20 PBVs and the CHA plans to continue to issue project-based vouchers through the RFP process as special housing needs are identified and/or as demand for additional subsidized housing operations increases.

CHA intends to convert targeted public housing populations (specifically College Hills and East Lake) to Project-Based Vouchers (PBV) or Project-Based Rental Assistance (PBRA)

Increase the availability of decent, safe and affordable housing by expanding the supply of assisted housing in Chattanooga through the following strategies:

1. Encourage more property owners/developers to apply for project based vouchers.
2. Reduce public housing vacancies
3. Leverage private or other public funds to create additional housing opportunities, utilizing grants, low-income housing tax credits, HUD Capital Funds Finance Program, Energy Performance Contracts.
4. Convert targeted housing properties to Project-Based Vouchers (PBV) or Project-Based Rental Assistance (PBRA)

5. Acquire or build units or developments

Progress in Meeting Missions and Goals of 5 Year Plan

1. CHA is currently leased at approximately 3300+ units, with approximately 250 prospective voucher participants searching for housing.
2. Continued strong focus on LIPH vacancy reduction utilizing both site staff and contract labor
3. Nearing completion on renovations at Dogwood Manor Apartment building, which is now being operated under the Low Income Housing Program.

Improve the quality of assisted housing.

1. Completion of major renovation program at Emma Wheeler Homes using Capital Funds
2. Comprehensive renovation of Dogwood Manor nearing completion.
3. Increase Assisted Housing Choices.
4. Beginning major renovation program at Boynton Terrace using Capital Funds

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The CHA continues to have Resident Councils at the following PHA sites: Boynton Terrace, Greenwood/Scattered Sites, Mary Walker Towers, as well as the Resident Advisory Board.

Promote client self-sufficiency and asset development

1. Increase the percentage of employed persons in assisted families through waiting list preferences
2. Provide or partner with supportive services to enhance the employability of clients
3. Provide or partner with supportive services to increase independence for client households with elderly or disabled members
4. Provide or attract supportive services to increase independence for the elderly or families with disabilities
5. For designated sites, CHA's Upward Mobility Program includes admission criteria designed to promote family self-sufficiency (described in ACOP)
6. Work to obtain grant funding to support these initiatives

Progress in Meeting Missions and Goals of 5 Year Plan

Improve Community Quality of Life and Economic Vitality.

1. Partnered with existing agencies to provide educational, recreational and social opportunities on site in public housing developments including, but not limited to, Chattanooga YMCA; Partnership for Children & Adult Services; The Center for Adult Education; Chattanooga Early childhood Development (Head Start Program); CITH of Chattanooga Youth & Family Development; READ 20; Memorial Healthcare Systems – Mary Ellen Locher Breast Cancer Center; Center for Nonprofits; Communication VIP: Urban League of Greater Chattanooga; Consumer Credit Counseling Services Accountability Measures; First Things First; Regions Bank; Sun Trust Bank; United Way; Parents are First Teachers; Chattanooga Arts & Education Council; Chattanooga Neighborhood Enterprises; and Catholic Charities of East Tennessee.

City of Chattanooga
Promote Self-sufficiency and Asset Development of Families.

1. Upward Mobility Counselor continues to implement Upward Mobility Programs at Fairmount Apartments, Greenwood Terrace and Maple Hills. The CHA will expand the program as funding and staff capacity permits.
2. Established two (2) Service Coordinator positions at the following locations: Emma Wheeler Homes, Scattered Sites, Greenwood, and Fairmount. These individuals are responsible for helping to connect residents to various service providers. They work closely with the Upward Mobility Counselor. Also available to the residents for assistance with moving toward becoming self-sufficient is the Family Self-Sufficiency (FSS) Coordinator, who works with residents of the LIPH program.
3. CHA received renewal grant to fund both the Service Coordinators and the FSS Coordinators who will also assist in implementation of the FSS Program at the various sites.
4. Plan to apply for available grants that focus on crime prevention and self-sufficiency initiatives.

Actions taken to provide assistance to troubled PHAs

The City of Chattanooga's PHA is not in "troubled" status.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City is completing the requirements to establish a Land Bank Authority which will make it easier for the city to transfer back tax properties to private developers for the purpose of developing affordable rental housing.

In addition to minority and WBEs, the OMA is also charged with increasing diversity within the City's workforce and maintaining at least minimum standards for utilization of MBE, WBE and disadvantaged businesses in City contracts.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The Office of Multicultural Affairs instituted the following measures to increase minority outreach:

- a. Hosted quarterly workshops on doing business with the City, certification and business finance. DBE engagement in FY2016 averaged 12.3%. The City's goal is 14% engagement.
- b. Provided one-on-one consultations with small business owners to explain the City purchasing process and how to navigate bids and solicitations. Hosted quarterly workshops on doing business with the City, certification and business finance. DBE engagement averages 12.3%.
- c. Expanded the reach of OMA to 4000 plus community members.
- d. Maintained the Diverse Business Enterprise Database.
- e. Launched breakfast networking series to foster positive resources and connections for diverse business owners, aspiring entrepreneurs and city government.
- f. Attended or partnered with local and regional supplier diversity outreach events to foster networking and contract opportunities with small and diverse business. (Tennessee Valley Authority, Volkswagen, Chattanooga Chamber of Commerce.
- g. Under direction of the Mayor of Chattanooga and in partnership with the Department of Economic Development, created and provide staff direction for the Minority Business Task Force.
- h. Administered and staffed Construction Mitigation Grant Program for qualifying merchants affected by closure of the Wilcox Tunnel. Provided outreach and technical assistance to merchants yielding 15 applicants/14 recipients.

The Employment Services at Signal Centers program provides comprehensive services to job seekers with disabilities. Services include employment readiness, job training assessments, job placement, job coaching, and employer development. Signal Centers' Adult Services complements employment services as the Program is designed to benefit adults with a primary diagnosis of a physical disability, and to increase or maintain their independence, reduce feelings of isolation and depression, and to provide learning opportunities.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City applied for a Lead Based Paint Hazard Control grant for this current cycle, but was not successful in receiving the grant.

City of Chattanooga
During the past fiscal year, \$131,785 was spent on lead remediation and abatement for forty-nine units in conjunction with CDBG funded projects.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The City of Chattanooga has been working under two initiatives for approximately 18 months to end Veteran homelessness. So far, 203 veterans and their families have found permanent housing in Chattanooga and surrounding areas. The length of homelessness for veterans in Chattanooga has been reduced by half, and is currently around 50 days, with a goal of reaching 30 days or less for a veteran to experience homelessness.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The Office of Community Development operates under the City's Department of Economic and Community Development. The CD Office is responsible for managing all aspects and requirements related the Consolidated Plan, Action Plan, CAPER and related federal compliance. The 19-member Community Development Advisory Committee provides community input in decisions related to community development programs.

The CD Office works closely with other offices in the department, other City departments, and local non-profits to comprehensively access and address community needs, particularly those of low- and moderate-income citizens.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Addressing community needs effectively and efficiently requires coordinated efforts. Annually, the Community Development Office prepares the Five-Year Consolidated Plan and the One-Year Action Plan on behalf of the City of Chattanooga. During the development processes for these plans, the CD Office coordinates with a variety of nonprofit organizations, including Chattanooga Housing Authority, other affordable housing organizations (public and private), social service providers, health, and social service agencies.

Prior to undertaking projects/programs/activities funded with CPD funds, each is evaluated to ensure collaboration, coordination, and effectiveness.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The CD Office, the City Office of Minority Affairs (OMA), and Chattanooga Housing Authority work cooperatively on the analysis of impediments to fair housing choice to ensure equal access to housing regardless of race, color, religion national origin, sex, sexual orientation, gender identity, familial status of disability. These efforts include CHA's long-range plans for improving the housing mix and building configuration of public housing developments by reconfiguring selected development through the demolition of non-viable units and replacement of some sites with scattered site development, and the three partners providing training to staff and the public on fair housing, translating information into the language of Non-English speaking persons, enlarging printed information for the visually challenged along with the following efforts by the City:

City of Chattanooga

- 1) Establish a baseline and set specific targets (two or five years) for the number of affordable housing units within the City for moderate income and low income households. Include transportation costs.
- 2) Propose a definition of “affordable and livable housing” for low and moderate income households.
- 3) Adopt a policy that supports affordable housing throughout the City and endorses a mixed income approach that promotes a variety of housing products for new development receiving local public assistance.
- 4) Establish an Affordable Housing Trust to provide financing assistance to public, private, or nonprofit housing providers at a discount in return for incorporating affordable housing into their projects.
- 5) Establish a home rehab fund to incentivize first time homebuyers to purchase and renovate homes in targeted communities.
- 6) Consider amending City codes to allow single apartments within houses in targeted R-1 zoned areas as a way to reduce homeownership costs.
- 7) Introduce a portfolio of incentives such as fee reductions and density bonuses for housing developments that include affordable units. Projects over a certain size that do not incorporate affordable housing would pay a fee into a housing trust fund that would support affordable housing efforts.
- 8) Develop local public and nonprofit capacity to build and manage affordable rental housing.
- 9). Eliminate regulatory barriers to urban infill and affordability.
 - a) Offer reduced fees, streamlined review, and density bonuses for projects that incorporate affordable housing in targeted areas.
 - b) Review subdivision code and street standards to eliminate site engineering requirements that unnecessarily impact cost of housing and the “footprint” of disturbed site area (street widths, turnaround design, grading, minimum lot size, swales vs. curb).
 - c) Update zoning codes to allow more diversity in range of housing types and lot sizes.
 - d) Set up a vacant lot “clearing house” database to connect developers with sites and provide a menu of incentives available.
 - e) Develop an infill development loan fund/public capital institution to provide construction loan capital to developers for projects incorporating affordable housing when private capital is not available.

Actions to overcome housing impediments to fair housing

The City of Chattanooga conducts many Fair Housing activities through the Office of Multicultural Affairs. Here is a summary of what activities were conducted during FY 2105-16:

- a. Due to the rapid increase in the Latino population, information was published in the Spanish language

- b. Funds were awarded for the 2015â€ 2016 year to a Hispanic oriented nonprofit to assist with getting information into the Hispanic communities.
- c. Fair Housing materials have been distributed throughout the City of Chattanooga in English and Spanish in neighborhoods, businesses and community centers throughout the city.
- d. Provide programmatic support to the Mayors Council for Disability
- e. Support the coordination of the 25th Anniversary of the Americans with Disabilities Act (ADA) Celebration.
- f. Distributed Fair Housing brochures and materials at a number of large scale community events such as The Annual Minority Health Fair, Go-Fest, a festival created to make Chattanooga more accessible to everyone , regardless of their abilities, M.L. King Jr. Day of Service, Latin Fest, and individual neighborhood festivals.
- g. Provide education and outreach to over 200 youth and young adults in selected public schools (elementary and high school), universities, and city interns on the topics Fair Housing, protected class, and housing discrimination.
- h. Trained 6 new citizens to serve as Fair Housing Testers.
- i. Maintained Fair Housing Testing Program.
- j. Received citizen's inquiries and initial complaints regarding alleged Title VI, housing discrimination. Addressed questions, made referrals and served as a resource for the process to file a complaint.
- k. Performed education and outreach on Fair Housing and housing discrimination through local radio media opportunities.
- l. Conducted basic Fair Housing Training Session with Code Enforcement Officers. m. Facilitate Fair Housing Training Workshops with general public, neighborhood leaders and non-profit organization.
- n. Created a Fair Housing Roundtable consisting of representatives from city government, local housing practitioners, advocacy groups and human and social services organization. Meets every other month.
- o. In partnership with the Chattanooga Police Department, coordinated RISE (Refugee Immigrant Safety Education), a program designed to connect refugee and immigrants to safety education. The program is facilitated by law enforcement and firefighters and includes Fair Housing education facilitated by OMA staff.
- p. Attend conferences and training opportunities that focus on information and tactics to eliminate barriers to fair housing ie, Equality Coalition for Housing Opportunities (ECHO) 2016 Spring Conference Building a Vision for Equality, Knoxville, Tennessee; Housing Equality Alliance of Tennessee Fair Housing Matters Conference, Nashville, Tennessee, HUD Office of Fair Housing and Equal Opportunity Fair Housing Webinar, Tennessee Immigrant and Refugee Rights Coalition (TIRRC) Training and others.

City of Chattanooga

q. Facilitated and co-facilitated training during the City of Chattanooga's Compliance Week activities held April 18th-April 22.

r. Presented month Fair Housing Month activities and agenda for April 2016.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The Community Development Office has created written policies and procedures relative the operating CPD programs, including monitoring. Funded subrecipients/partners are provided information, training, and technical assistance, as necessary based on the size and complexity of the project/program/activity. Subrecipients/partners receive training on cross-cutting federal requirements prior to signing a contract. All contracts contain language relative to compliance with all requirements. Additional restrictions/security liens are placed on assisted properties to ensure long-term compliance. HOME- and CDBG-assisted properties are monitored to confirm that residents meet qualifications for residing in the units.

Annually, the CD staff conducts on-site monitoring of all subrecipients and programs. This is in addition to desk monitoring. Monitoring visits assist in determining if the subrecipient is carrying out its program and activities within the timeline denoted in the contract and ensures that the required records are maintained to demonstrate compliance with applicable regulations.

All subrecipients are required to submit quarterly status reports to the CD Office for review. Adequate documentation is required for all funding reimbursement requests. A CD staff member is present at all pre-construction meetings to ensure adherence to requirements involving minority business outreach.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The City's Citizen Participation Plan provides the framework and process by which citizens receive reasonable notice and an opportunity to comment on performance reports. The City posts notices of all meetings, available plans, and HUD funding allocations on the City's website, Facebook page, department newsletter, and the local newspaper, including the newspaper written in Spanish. Comment periods range from 15 days for the CAPER to 30 days for Action Plans and Consolidated Plans. All public meetings are announced at minimum, fourteen days prior to the meeting date. During the comment period, copies of the Consolidated Plan are made available at the public library, City Hall, online, and at the public housing authority. All reports are available on line and at the CD Office.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The City has not changed the objectives of its program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?	No
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[BEDI grantees] Describe accomplishments and program outcomes during the last year.

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

City of Chattanooga ensures that project partners make HOME-assisted units available to all qualified individuals regardless of race, color, religion, sex, familial status, disability, national origin, sexual orientation, and gender identity. Guidance is provided to partners in advertising and selecting potential tenants. Monitoring visits are performed on HOME-funded rental projects, including checking records of advertising and outreach activities.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

The City received \$513,996 in HOME program income during the year. The program income was generated from principle and interest payments on homeowner rehab and homebuyer assistance loans. Program income was used to assist in the development of affordable rental units, units for homebuyer assistance and providing down payment assistance. Owner and tenant characteristics are detailed under Table 13 and narrative at CR20.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The City is conducting a housing study to develop a comprehensive approach to addressing the City's affordable housing needs through introducing/enhancing incentives for partnerships with private developers to leverage federal resources in producing and preserving affordable housing. These include the City's PILOT Program, partnering with developers in LIHTC projects, amassing critical properties through the Land Bank Authority, utilizing THDA's Blight Elimination Program to clear abandoned properties for re-use. Additionally, the City has or proposes to:

- 1) Establish a baseline and set specific targets (two-year or five-year) for the number of affordable housing units within the City for moderate income and low income households. Include transportation costs.
- 2) Propose a definition of "affordable and livable housing" for low and moderate income households.
- 3) Adopt a policy that supports affordable housing throughout the City and endorses a mixed-income approach that promotes a variety of housing products for new development receiving local public assistance.
- 4) Establish an Affordable Housing Trust to provide financing assistance to public, private, or non-profit housing providers at a discount in return for incorporating affordable housing into their projects.

City of Chattanooga

5) Establish a home rehab fund to incentivize first time homebuyers to purchase and renovate homes in targeted communities.

6) Consider amending City codes to allow single apartments within houses in targeted R-1 zoned areas as a way to reduce homeownership costs.

7) Introduce a portfolio of incentives such as fee reductions and density bonuses for housing developments that include affordable units. Projects over a certain size that do not incorporate affordable housing would pay a fee into a housing trust fund that would support affordable housing efforts.

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	CHATTANOOGA
Organizational DUNS Number	043112341
EIN/TIN Number	626000259
Identify the Field Office	KNOXVILLE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Chattanooga/Southeast Tennessee CoC

ESG Contact Name

Prefix	Mrs
First Name	SANDRA
Middle Name	H
Last Name	GOBER
Suffix	0
Title	Manager

ESG Contact Address

Street Address 1	101 E. Eleventh Street
Street Address 2	Suite 200
City	Chattanooga
State	TN
ZIP Code	-
Phone Number	4237575133
Extension	0
Fax Number	4237574851
Email Address	sgober@chattanooga.gov

ESG Secondary Contact

Prefix	Mrs
First Name	Paula
Last Name	Coleman
Suffix	0
Title	ESG Program Contact
Phone Number	4236437331
Extension	0
Email Address	pcoleman@chattanooga.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2015
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FIRST YEAR CAPER 2015-2016

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: CHATTANOOGA

City: Chattanooga

State: TN

Zip Code: 37402, 4242

DUNS Number: 043112341

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 0

Introduction

The Consolidated Annual Performance and Evaluation Report (CAPER) is the primary mechanism for Emergency Solutions Grants (ESG) Program accomplishment reporting. In the initial May 2012 release of the eCon Planning Suite, HUD included limited screens for the ESG portion of the CAPER (screens CR-60, CR-65, CR-70, and CR-75). HUD informed recipients that this initial release was a “transition” CAPER and that HUD would develop a more comprehensive report template at a future date.

HUD has updated the ESG CAPER, which is now required for **all 2015 CAPER submissions (beginning October 1, 2015) and only affect screen CR-65**. This redesign is an important next step in gathering comprehensive, nationwide data that show the impact of the ESG Program across the country. ESG CAPER updates reflect the 2014 Homeless Management Information System (HMIS) Data Standards and recently released critical changes and further align the ESG CAPER with the Continuum of Care (CoC) Program’s Annual Performance Report (APR).

Starting with their 2015 CAPER submissions, ESG recipients must report aggregated ESG Program report information at the subrecipient level, using the newly developed ESG eCart (the ESG-CAPER Annual Reporting Tool). eCart is a Microsoft Excel spreadsheet that is configured to load report level, aggregate information from an HMIS and produce all statistical information required by HUD on program participants served in ESG-funded projects. ESG recipients will obtain data from their subrecipients through a special Comma Separated Value (CSV) export; which the recipients will use to populate the eCart tool for submission to HUD. The information in eCart replaces all data previously collected on screen CR-65. Recipients must attach the eCart to their CAPER submission to fulfill the new requirement and will no longer be required to enter the data manually onto screen CR-65.

Revision History – eCart tool

Date	Version	Description
10/25/2015	1.1	<ul style="list-style-type: none">• Updated embedded Guide• Reformatted “Check your Data” tab

Revision History – Guidance

Date	Version	Description
10/25/2015	1.1	<ul style="list-style-type: none">• Fixed minor formatting• Updated “Check your data” section• Added “Embedding eCart Excel file to a Microsoft Word document” in troubleshooting section

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 14 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 15 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 16 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 17 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 18 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 19 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 20 – Age Information**7. Special Populations Served—Complete for All Activities****Number of Persons in Households**

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 21 – Special Population Served

10. Shelter Utilization

Number of New Units – Rehabbed	
Number of New Units – Conversion	
Total Number of bed - nights available	
Total Number of bed - nights provided	
Capacity Utilization	

Table 22 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	12,405.19
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	12,405.19

Table 23 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	14,866.74
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	30,042.41
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	44,909.15

Table 24 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services	0	0	0
Operations	0	0	6775.59
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	0	0	6775.59

Table 25 – ESG Expenditures for Emergency Shelter

City of Chattanooga
11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
HMIS	0	0	300
Administration	0	0	0
Street Outreach	0	0	0

Table 26 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015
0	0	0	112,947.95

Table 27 - Total ESG Funds Expended

11f. Match Source

	2013	2014	2015
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	30,000
Private Funds	0	0	238,944.39
Other	0	0	175,595
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	444,539.39

Table 28 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2013	2014	2015
0	0	0	102,586.95

Table 29 - Total Amount of Funds Expended on ESG Activities